

Mutual Fund Revenue Services

Experience a cost-effective, timely, and consistent approach to plan management

TD Ameritrade Trust Company is committed to helping advisors, Third Party Administrators (TPAs), and recordkeepers deliver valuable services to plans. That's why we offer **mutual fund revenue management services** designed to benefit plan sponsors and participants.

We've negotiated on behalf of plan sponsors and advisors for a portion of mutual fund and/or stable value fund expenses to be paid back to the plans we service (the rate at which each fund pays is variable and can be found on our online fund universe). Contracted fund company revenue is calculated based on the plan's average daily balance invested in each specific mutual fund.

In addition, our mutual fund revenue management services program is engineered toward paying out the amount each plan has earned in a timely and consistent manner.

How does TD Ameritrade Trust's mutual fund revenue management service work?

TD Ameritrade Trust Company establishes a plan-level revenue account for every plan on our platform. All revenue earned in connection with the plan and payable to the plan by TD Ameritrade Trust Company is deposited into the plan's revenue account, allowing separate accounting and disclosure of all revenue earned by the plan.

The TD Ameritrade Trust Company mutual fund revenue management services provides your plan:

Timeliness

Revenue is typically deposited by TD Ameritrade Trust Company to the plan's revenue account 45 days after the end of each quarterly period.

Consistency

TD Ameritrade Trust Company will regularly calculate and pay earned revenues to the plan.

Transparency

Feel confident knowing exactly how much mutual fund revenue will be credited to the plan each quarter through summary and detail reporting.

Additional revenue potential

If the plan sponsor designates TD Ameritrade, Inc., an affiliate of TD Ameritrade Trust Company, as the plan's broker/dealer, mutual fund 12b-1 revenue may be available to the plan.*

Cost-efficiency

TD Ameritrade Trust Company will deduct a fee equal to 4% of the plan's revenue payment each quarter to pay for the cost of this service.

Connect with an experienced consultant today.

CALL 866-827-5894

VISIT tdaretirementplansolutions.com



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