Fact sheet

Business Transition Services

Dedicated business planning and transition services

What can your Business Transition Services team do for you?

Whether you are changing custodians, establishing a new advisory firm, or joining an existing firm, your TD Ameritrade Institutional Business Transition Services team* will provide a seamless experience as you make this important move. Your dedicated contact will work with you through every step of the transition, from creating a customized business transition plan to managing client account paperwork. We give you the support and services you need to allow you to stay focused on your clients.

Experienced professionals who understand your business

Our dedicated specialists have a deep understanding of the industry, the importance of what you do, and the reasons why a successful transition of your business is critical. We take a consultative approach, working closely with you to learn about your firm and then, using that insight along with our knowledge of TD Ameritrade Institutional, ensure that your needs are met swiftly and accurately.

Comprehensive transition services from start to finish

Your Business Transition Services team will work to define, coordinate, and implement the steps required to successfully transition your business. They will help you map out and execute a customized plan based on the information gathered about your unique business needs. This includes managing and monitoring your conversion from start to finish, and aiming to provide the highest level of efficiency, accuracy, and clarity throughout the process. The result? Confidence without business disruptions for you, your firm, or your clients.

Overview

Your Business Transition Services team will work with you to:

- Develop and deliver a customized business transition plan tailored to your specific needs
- Organize your client account information and help you prepopulate critical client paperwork
- Provide onsite operations and technology training for you and your associates
- Execute priority processing of asset transfers and ensure that new accounts are established quickly and efficiently

TD Ameritrade Institutional

Working with your Business Transition Services team

From start to finish, your Business Transition Services team can manage and execute key aspects of the transition of your business. This can help you to:

- Focus your energies on managing your clients instead of managing the details of your conversion
- Gain efficiencies with tools that we provide access to, such as customized client communications and e-signature capabilities
- Save time by answering fewer client questions about account transition issues and concerns
- Free up office resources and avoid having to hire additional staff to help with the transition process

From preparation, to implementation, to onsite operations and technology training, you will have the assistance you need to ensure that your entire business transfer process runs smoothly.

The TD Ameritrade Institutional difference

TD Ameritrade Institutional is a leading provider of comprehensive brokerage and custody services to over 4,500 fee-based, independent RIAs and their clients. Our collaborative approach and innovative technology solutions help to ensure that you spend less time navigating a one-size-fits-all solution and more time focused on exceeding your clients' expectations.

Connect with us today.

CALL 800-934-6124

VISIT tdainstitutional.com

TD Ameritrade Institutional

*Firm asset qualifications apply.

Market volatility, volume, and system availability may delay account access and trade executions.

TDAI 3276 03/16